

JOB DESCRIPTION

Department:	Funds Management
Title:	Vice President, Head of Legal and Compliance, Funds Management
Report(s) to:	CEO, Funds Management Functional reporting to Head of Legal, Sun Hung Kai & Co. Ltd.
Scope:	Work with Sun Hung Kai & Co's management, businesses, and support functions for the effective handling of legal, compliance and regulatory matters affecting the company and its subsidiaries, investments, and affiliates (the "Group")

Duties & Responsibilities:

- Reporting to the CEO, Funds Management and working closely with senior management on legal and compliance aspects of the Group's business and providing risk assessments and solutions
- Champion legal and regulatory compliance of Department activities and the protection of its interests
- Supervise and manage legal related execution to:
 - Establish and structure new funds in HK and other jurisdictions, including offering and constitutive documents,
 - Market and distribute funds in Hong Kong, Mainland and international,
 - Maintain existing funds and ensure full compliance with appropriate regulations, and fund terms and disclosure requirements
- Draft, review and negotiate agreements including:
 - Fund formation,
 - Investment management and advisory,
 - Placement, distribution, and introducer/agency,
 - Side letters and rebates,
 - Co-investment, joint venture, shareholder, investors rights,
 - Service providers, brokerages accounts, trading, ISDA, NDA and employment related.
- Handle regulatory requests such as reporting, inquiries and inspections and ensure smooth, accurate and timely communication with regulators
- Anticipating and preparing AM Business for regulatory developments and supervise the appropriate read across regulatory findings, reprimands, notices, and guidelines by the regulators where appropriate Provide accurate and practical regulatory advice and drive the completion of business development and expansion projects
- Primarily owner of Compliance projects by keeping abreast of regulatory changes, identifying policy gaps and driving the corresponding changes in policies and procedures
- Source, manage, co-ordinate external counsel using sound cost control measures

Background:

- Minimum 8 years post qualification experience, preferably Commonwealth, US, or Hong Kong qualified
- Experience gained from reputable international law firms, listed companies, and banks/financial institutions with type 1 and 9 licenses will be viewed favorably, covering a wide variety of practice areas
- Regulatory background, familiar with SFC and MAS/ASIC&APRA ordinance, rules, codes and regulations or PRC fund experience would be ideal
- Good with project management and communications to internal and external stakeholders
- Self-starter, positive energy with a can-do attitude and a natural curiosity to learn new things
- Collaborative, independent thinking, flexible, and with high integrity
- Fluency in English and preferably in spoken and written Chinese (Cantonese and Putonghua)

Join us

As a company focused on discovering and creating value, we believe that our people are our most important assets. We strive to create a flexible, pleasant, and cooperative working environment where everyone can excel. We understand that work and the workplace are changing and we are proactively adapting as well: from our unlimited leave policy, to flexible remote working choices, to smallest things such as free COVID tests to employees, we want to make Sun Hung Kai a place where people feel empowered and inspired. Interested to join us?

We offer a competitive remuneration package to the right candidate.

Please send your resume with present and expected salary to People and Culture Department by email to hr@shkco.com

Disclaimer / Policy Statements

Sun Hung Kai & Co is an Equal Opportunity Employer and welcome applications from all qualified candidates. We evaluate qualified applicants without regards to gender, race, colour, nationality, religion, sexual orientation or disability and other status protected by law. We respect and seek to empower each individual and support diverse cultures, perspectives, skills, and experience within our workforce to foster an inclusive work environment.

All applications will be treated in the strictest confidence. Personal data provided will be used for recruitment purposes only.

Under the Personal Data (Privacy) Ordinance, you may request access to, and / or correction of your personal data in relation to your application. If you wish to do so, please email to hr@shkco.com.